



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/775,044	01/31/2001	I-Cheng Chen	2500-03

CONFIRMATION NO. 3157

26797

SILICON VALLEY PATENT AGENCY, INC.  
7394 WILDFLOWER WAY  
CUPERTINO, CA 95014

## FORMALITIES LETTER



\*OC000000005880735\*

Date Mailed: 03/20/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$220.
  - \$180 for 20 total claims over 20.
  - \$40 for 1 independent claims over 3.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 640.

*A*

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/09/2001 JAD001 00000036 09775044

01 FC:201 355.00 00 00 00 00

02 FC:205 65.00 00 00 00 00

03 FC:202 40.00 00 00 00 00

04 FC:203 180.00 00 00 00 00



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Sector \$

Applicant(s): I-Cheng Chen  
Title: Method and System for Providing Texts for Voice Requests  
Serial No.: 09/775,044  
Confirmation No.: 3157  
Filing Date: 01/31/2001  
Examiner: Unknown  
Group Art Unit: 2641  
Docket No.: 2500-03

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April 2, 2001

Commissioner of Patents and Trademarks  
Box Missing Parts  
Washington, DC 20231

**Re: Response to Notice To File Missing parts of Application**  
***Filing Date Granted***

Dear Sir:

In response to the "Notice To File Missing parts of Application – Filing Date Granted" mailed by the United States Patent and Trademark Office on 03/20/2001, the following documents are enclosed to complete the filing of the above-identified patent application:

1. A Declaration signed by the inventors in compliance with 37 CFR 1.63;
2. Copy of Notice To Missing parts of Application – Filing Date Granted;
3. A fee transmittal for FY 2001
4. A check of \$680.00 payable to US Patent and Trademark Office; (of which \$40 is for the assignment recordation);
5. An assignment and an assignment recordation sheet;

6. A Stamped and Self-addressed postcard.

It is hereby respectfully submitted that the enclosed documents complete the filing of the above patent application and justify the US filing date of 01/31/2001. The applicant believes that \$680.00 enclosed herewith is the only fee to be due in responding to this Notice. However, if the PTO believes otherwise please charge our deposit account No. 50-0968 any charges that may be due. Please telephone the undersigned at (408)777-8873, if there are any questions.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to "Commissioner of Patents and Trademarks, Washington, DC 20231", on April 2, 2001.

Name: Joe Zheng

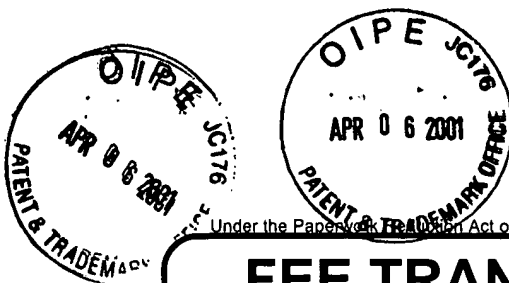
Signature: 

Respectfully submitted;



Joe Zheng

Reg.: No. 39,450



# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)**680.00**

## Complete if Known

Application Number **09/775,044**  
Filing Date **Herewith**  
First Named Inventor **I-Cheng Chen**  
Examiner Name  
Group Art Unit **2641**  
Attorney Docket No. **2500-03**

## METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number  
Deposit Account Name

- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	<b>\$355</b>
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$)**355**

### 2. EXTRA CLAIM FEES

Total Claims **40** - 20\*\* = **20** X Fee from below **9** = **\$180**  
Independent Claims **4** - 3\*\* = **1** X **40** = **\$40**  
Multiple Dependent  =

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)**220**

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	<b>\$65</b>
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	<b>\$40</b>
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**105**

## SUBMITTED BY

Name (Print/Type) **Joe Zheng**  
Signature *Joe Zheng*

Registration No. **39,450**  
(Attorney/Agent)

## Complete (if applicable)

Telephone **408-777-8873**  
Date **4/2/2001**

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.